

Docket No.: 9668-C2-03-DCL

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Dennis George Anthony Nelson

Serial No.: 10/630,526 Group Art Unit: 1614

Filed: July 30, 2003 Examiner: Not yet assigned

For: COMPOSITIONS COMPRISING A POTASSIUM SALT ACTIVE INGREDIENT,
INCLUDING ORAL COMPOSITIONS FOR REDUCING DENTAL NERVE AND
SENSITIVITY COMPRISING A NON-MENTHOL FLAVORING

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R § 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449 submitted in accordance with 37 C.F.R §§ 1.98 (a) (1) and 1.98 (b), as well as references previously listed as part of the Information Disclosure Statement in the parent cases (8 sheets of references). It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein.

While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

CERTIFICATE OF MAILING PURSUANT TO 37 C.F.R SECTION 1.8

I hereby certify that this correspondence and any documents referred to as enclosed are being deposited, pursuant to 37 C.F.R. Section 1.8, with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 26th day of January, 2004.

By: Christie Cicenia
Christie Cicenia

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney or agent of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. § 1.98 (a) (2), unless otherwise indicated herein, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each non-English reference, if any, are also enclosed.

The following are also included, if applicable, and as required under 37 C.F.R. § 1.98:

- In accordance with 37 C.F.R. § 1.98 (a) (3), the following concise explanation of the relevance of each listed reference that is not in the English language and is not accompanied by a translation into English or discussed in the present specification, is provided.
- The following listed references are not enclosed because, under 37 C.F.R. § 1.98 (c), they are substantially cumulative of the _____ reference:
- The following listed references are not enclosed because, under 37 C.F.R. § 1.98 (d), they were previously cited by or submitted to the Office in the _____ prior application, which is relied upon for an earlier filing date under 35 U.S.C. 120.

The certification specified in 37 C.F.R. § 1.97 (e), if required, is made below:

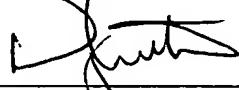
- I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97 (e) (1).
- I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56 (c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97 (e) (2).

Please credit any overpayment or charge any additional fees to Deposit Account No.:
23-0458.

Date:

1/26/04

Respectfully submitted,



Darryl C. Little
Reg. No. 40,703
Attorney For Applicant

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201 Tabor Road
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(973) 385-4401



Sheet 1 of 1

FORM PTO-1449 U.S. DEPARTMENT OF (Rev. 2-32) COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		ATTY. DOCKET NO.	SERIAL NO.
		9668-C2-03-DCL	10/630,526
		APPLICANT Dennis Nelson	
		FILING DATE July 30, 2003	GROUP 1614

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5 7 5 6 0 7 4	5/26/98	Ascione et al.			

FOREIGN PATENT DOCUMENTS

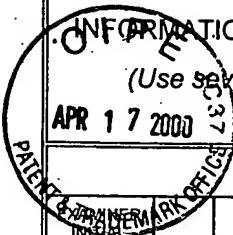
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
WO	9 3 0 4 6 6 3	3/18/93	PCT					
WO	9 4 2 1 7 7 0	12/29/94	PCT					
WO	9 4 2 1 7 6 8	12/29/94	PCT					
WO	9 4 1 2 5 9 9	6/9/94	PCT					
WO	9 4 2 1 7 6 7	12/29/94	PCT					

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc)

EXAMINER		DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

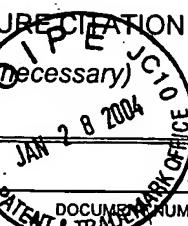
ANY COPIES OF DOCUMENTS LISTED ON THIS FORM PTO-1449 SHOULD HAVE CERTAIN INFORMATION PLACED ALONG THE LEFT SIDE OF THE DOCUMENT. INFORMATION SUCH AS DOCKET NUMBER, FILING DATE, SERIAL NUMBER, ART UNIT, ETC.



INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

APR 17 2000

1 of 7



ATTY. DOCKET NO. PC9668B

SERIAL NO. 09/503,431

APPLICANT Dennis G. A. Nelson

FILING DATE February 14, 2000

GROUP

U.S. PATENT DOCUMENTS

	DOCUMENT NUMBER								DATE	NAME	CLASS	SUBCLASS	FILED DATE IF APPROPRIATE
SKR	1.	3	6	2	7	8	2	2	12/14/71	B. Sundby			
	2.	3	8	6	3	0	0	6	01/28/75	M. Hodosh			
	3.	3	9	2	9	5	7	9	12/30/75	Y. Yoshimura et al.			
	4.	3	9	8	5	8	6	9	10/12/76	Y. Yoshimura et al.			
	5.	4	0	5	7	6	2	1	11/08/77	D.H. Pashley et al.			
	6.	4	2	8	3	3	8	5	08/11/81	D. J. Dhabbar et al.			
	7.	4	3	4	6	4	9	3	08/31/82	J. H. Goudsmit			
	8.	4	3	5	7	3	1	8	11/02/82	N. B. Shah et al.			
	9.	4	4	0	0	3	7	3	08/23/83	M. Hodosh			
	10.	4	4	3	2	1	1	4	02/21/84	J. H. Goudsmit			
SLP	11.	4	5	8	1	2	9	2	04/08/86	S. Shinpo et al.			

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER								DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION		
													YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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<p style="text-align: center;">INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)</p> <p style="text-align: center;">2 of 7</p>		ATTY. DOCKET NO. PC9668B							SERIAL NO. 09/503,431				
		APPLICANT Dennis G. A. Nelson											
		FILING DATE February 14, 2000							GROUP				
U.S. PATENT DOCUMENTS													
EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>Skp</i>	12.	4	6	1	6	4	8	8	10/21/86	T. Maeyama et al.			
	13.	4	6	3	1	1	8	5	12/23/86	S. Kim			
	14.	4	6	4	5	6	6	2	02/24/87	S. Nakashima et al.			
	15.	4	7	5	1	0	7	2	06/14/88	S. Kim			
	16.	4	7	5	6	9	0	3	07/12/88	S. Shinpo et al.			
	17.	4	9	3	3	1	7	1	06/12/90	N. J. Bristow et al.			
	18.	4	9	9	2	2	5	8	02/12/91	S. C. Mason			
	19.	5	0	1	5	4	6	6	05/14/91	J. J. Parran, Jr. et al.			
	20.	5	0	1	5	4	6	7	05/14/91	H. C. Smitherman			
	21.	5	0	8	7	4	4	4	02/11/92	R. J. Jackson et al.			
<i>Skp</i>	22.	5	0	9	8	7	1	1	03/24/92	I. Hill et al.			
FOREIGN PATENT DOCUMENTS											TRANSLATION		
<p style="text-align: center;">APR 17 2000</p> <p style="text-align: center;">PATENT & TRADEMARK OFFICE</p>		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	YES NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)													
EXAMINER	<i>Skp Rose</i>										DATE CONSIDERED	<i>8/7/01</i>	
<p>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>													

INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		ATTY. DOCKET NO. PC9668B	SERIAL NO. 09/503,431
3 of 7		APPLICANT Dennis G. A. Nelson	
		FILING DATE February 14, 2000	GROUP

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PATENT & TRADEMARK OFFICE

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER								DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
8/82	23.	5	1	5	3	0	0	6	10/06/92	M. Hodosh			
	24.	5	1	6	0	7	3	7	11/03/92	M. Friedman <u>et al.</u>			
	25.	5	1	6	5	8	1	3	11/24/92	I. Hill <u>et al.</u>			
	26.	5	1	7	3	8	7	0	01/12/93	M. J. M. Schaeken <u>et al.</u>			
	27.	5	1	8	8	6	9	9	01/26/93	E. Jönsson <u>et al.</u>			
	28.	5	1	8	8	8	2	0	02/23/93	D. Cummins <u>et al.</u>			
	28.	5	1	8	8	6	2	2	02/23/93	J. P. Viccaro <u>et al.</u>			
	30.	5	2	2	1	1	5	4	07/13/93	E. C. Reynolds			
	31.	5	2	4	0	6	9	6	08/31/93	F. J. Van Der Ouderaa <u>et al.</u>			
	32.	5	2	4	0	6	9	7	08/31/93	J. Norfleet <u>et al.</u>			
	33.	5	2	4	2	6	9	3	09/07/93	Y. Kurihara <u>et al.</u>			

FOREIGN PATENT DOCUMENTS

O P E APR 17 2000 PATENT & TRADEMARK OFFICE	DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
	YES	NO											

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>		ATTY. DOCKET NO. PC9668B	SERIAL NO. 09/503,431
		APPLICANT Dennis G. A. Nelson	
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>SGR</i>	34.	5	2	5	2	5	7	7	10/12/93	M. M. Breuer et al.			
	35.	5	2	5	6	4	0	7	10/26/93	M. Prencipe et al.			
	36.	5	2	5	8	1	7	3	11/02/93	P. C. Waterfield			
	37.	5	2	7	0	0	3	1	12/14/93	R. M. Lim et al.			
	38.	5	2	7	0	8	0	8	01/04/94	N. Nabi et al.			
	39.	5	2	8	4	6	4	8	02/08/94	R. D. White			
	40.	5	2	9	6	6	1	5	03/22/94	M. R. Burke et al.			
	41.	5	3	1	0	5	4	2	05/10/94	V. Au et al.			
	42.	5	3	2	8	6	8	2	07/12/94	J. H. Pullen et al.			
	43.	5	3	5	2	4	3	9	10/04/94	J. Norfleet et al.			
<i>SGR</i>	44.	5	3	6	2	4	8	0	11/08/94	V. Au et al.			

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER								DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION		
													YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>SKL</i>	45.	5	3	7	4	4	1	7	12/20/94	J. Norfleet et al.			
	46.	5	4	0	3	5	7	7	04/04/95	M. Friedman			
	47.	5	4	0	9	9	0	2	04/25/95	R. G. Carson et al.			
	48.	5	4	1	6	0	7	5	05/16/95	R. G. Carson et al.			
	49.	5	4	3	7	8	5	8	08/01/95	H. Hungerbach et al.			
	50.	5	4	3	8	0	7	6	08/01/95	M. Friedman et al.			
	51.	5	4	4	9	5	0	9	09/12/95	R. J. Jackson et al.			
	52.	5	4	5	1	4	0	1	09/19/95	K. W. Zerby et al.			
	53.	5	4	8	6	3	5	0	01/23/96	J. Norfleet et al.			
	54.	5	4	8	7	9	0	6	01/30/96	N. Dixit et al.			
<i>SP</i>	55.	5	4	9	0	9	7	8	02/13/96	S. M. Spaltro et al.			

FOREIGN PATENT DOCUMENTS

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER								DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
SKR	56.	5	5	0	0	4	4	8	03/19/96	D. Cummins et al.			01/08/2004
	57.	5	5	0	9	8	2	3	04/02/96	J. Norfleet et al.			
	58.	5	5	0	5	9	3	3	04/09/96	J. Norfleet et al.			
	59.	5	5	2	2	7	2	6	06/04/96	M. Hodosh			
	60.	5	5	9	1	2	4	3	07/09/96	C. Dixon, Jr. et al.			
	61.	5	5	3	4	7	4	0	07/09/96	M. S. Tung			
	62.	5	5	6	5	9	0	5	10/01/96	M. F. Lukacovic			
	63.	5	5	6	5	1	0	0	10/15/96	J. Santalucia et al.			
	64.	5	5	7	1	5	0	0	11/05/96	A. Toy			
	65.	5	5	7	8	2	9	3	11/26/96	M. Prencipe et al.			
SV	66.	5	5	7	8	2	9	4	11/26/96	M. F. Lukacovic			

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER								DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION		
													YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

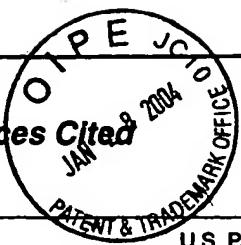
8/7/01

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INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)								ATTY. DOCKET NO. PC9668B		SERIAL NO. 09/503,431				
								APPLICANT Dennis G. A. Nelson		FILING DATE February 14, 2000		GROUP		
7 of 7														
U.S. PATENT DOCUMENTS														
EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
Ska	67.	8	5	8	9	1	5	9	12/31/96	K. Markowitz et al.	PE	IC10	JAN 28 2004 PATENT & TRADEMARK OFFICE	
	68.	5	5	8	9	1	6	0	12/31/96	D. E. Rice				
	69.	5	8	9	7	5	5	2	01/28/97	J. K. Herms et al.				
	70.	5	5	9	9	5	2	5	02/04/97	D. P. Hsu et al.				
	71.	5	5	9	9	5	2	7	02/04/97	D. P. Hsu et al.				
Ska	72.	5	6	5	3	9	2	0	02/18/97	D. E. Rice				
FOREIGN PATENT DOCUMENTS														
DOCUMENT NUMBER								DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION		
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)														
EXAMINER	<i>Ska Re</i>											DATE CONSIDERED	8/7/01	
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Conforms with FORM PTO-FB-A820

INFORMATION DISCLOSURE


Notice of References Cited

Application No.

09/1503431

Applicant(s)

N8288 Nelson (GALLOPO)

Examiner

SHEP Rose

Group Art Unit

1614

Page ____ of ____

U.S. PATENT DOCUMENTS

*	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
A	5 188 822	2/93	VICCARO et al	424	52
B	5 695 745	12/97	BARTON et al	424	49
C	5 338 538	8/94	TRICCA et al	424	57
D	5 681 549	10/97	MCLAUGHLIN et al	424	54
E	5 686 063	11/97	MCLAUGHLIN et al	424	54
F	5 827 505	10/98	HUGHES et al	424	49
G	6 004 538	12/99	HUGHES et al	424	49
H	6 193 958 B1	2/01	EDWARD et al	424	49
I	4 657 758	4/87	GOLDENBERG et al	424	49
J	5 643 183	8/91	GERSTON et al	424	52
K	5 229 103	7/93	GIAFORO et al	424	49
L					
M					

ABSTRACTS OF:

FOREIGN PATENT DOCUMENTS

*	DOCUMENT NO.	DATE	COUNTRY	NAME	CLASS	SUBCLASS
N	1040 819 A2	10/00	EP	GALLOPO + NELSON	—	—
O	94 085 590 A1	4/94	WO / PCT	BARTON et al	—	—
P						
Q						
R						
S						
T						

NON-PATENT DOCUMENTS

*	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
U	applicants Lykes	
V		
W		
X		

* A copy of this reference is not being furnished with this Office action.
(See Manual of Patent Examining Procedure, Section 707.05(a).)

Part of Paper No. _____